

The Honorable Dick Moore Mayor 574.294.5471 Fax: 574.293.7964

Office of the Mayor
229 S. Second St.
Elkhart, Indiana
46516

FY15 AC Proposal Electronic Submission

December 18, 2014

Environmental Management Support, Inc. Attn: Mrs. Edie Findeis Cromwell 8601 Georgia Ave, Suite 500 Silver Spring, MD 20910

Re: FY 2015 United States Environmental Protection Agency (EPA) \$400,000 Brownfields Assessment Grant Proposal Submitted by the City of Elkhart in response to RFP No.: EPA-OSWER-OBLR-14-07 via Catalog of Federal Domestic Assistance (CFDA) No. 66.818.

Dear Mrs. Findeis Cromwell:

I am pleased to submit the attached FY 2015 EPA Brownfields Assessment Grant Proposal for \$200,000 to address sites contaminated with petroleum and \$200,000 to address sites contaminated with hazardous substances for a combined request for \$400,000 in assistance. The City of Elkhart's downtown area has been impacted by economic downturn for decades, resulting in disinvestment of the area, further causing properties to be underutilized and increasing blighted conditions of the neighborhoods. With an estimated 25% of the families existing under the poverty level, the focus areas directly involve and impact various sensitive populations.

With the desire to enhance the expanded Urban Renewal area, the City will utilize the assessment grant funding to identify, detail, map, and update inventories of the potential sites generally focused within the economically depressed downtown Riverwalk, Market, Roundhouse, Arts and Entertainment Redevelopment Districts as well as the southern gateway corridor. Short and long term redevelopment opportunities include developing these areas for mixed use, commercial and/or light industrial re-use of properties have been identified via the City's primary planning vision set forth in the living document titled "A 2020 Vision For Elkhart" (http://www.elkhart2020.com/). The mixed use components envisioned and outlined in this document are walkable/bikeable neighborhoods that allow residents to utilize expanded pedestrian and cycling pathways to live-work-play within the downtown District of choice. The commercial and/or light industrial re-use and redevelopment components are envisioned to provide the means through localized district job creation and strengthen tax base to further build a sustainable, robust economic base to better weather the City's historically cyclic economic turmoil.

The following information is provided as required.

1. Applicant Identification:

City of Elkhart

**Economic Development Department** 

Brownfield Program 229 S. Second Street Elkhart, IN 46516 www.elkhartindiana.org

2. DUNS Number:

048995989

3. Funding Requested:

a) Grant type:

Assessment \$400,000

b) Federal Funds Requested:

\$200,000 Hazardous Substances and

c) Contamination:

\$200,000 Petroleum

d) Scope of Assessment:

Community-Wide

4. Location:

Downtown Elkhart's Urban Renewal Districts

City of Elkhart, Elkhart County, Indiana

5. Property information for Site Specific proposals: Not Applicable, Community-wide

6. Contacts:

a) Project Director:

Barkley P. Garrett, CEcD, Director

Economic Development - City of Elkhart

229 South Second Street Elkhart, IN 46516 P: 574-294-5471 x 109 M: 574-320-2274

E: barkley.garrett@coei.org

b) Chief Executive / Highest Ranking Elected Official:

Mayor Dick Moore - City of Elkhart

229 South Second Street Elkhart, IN 46516

Tel: (574) 294-5471 Fax: (574) 293-7964

dick.moore@coei.org

7. Date Submitted:

Friday, December 19, 2014

8. Project Period:

3 years

9. Population:

a) 51,265 (2013 estimate, U.S. Census for City of Elkhart)

b) Not Applicable, as applicant is a municipal government entity (city)

10. Other Factors: Checklist is attached to this transmittal letter.

Should you have any questions or concerns regarding the City's proposal, please direct them to Barkley Garrett, Director, Economic Development. He may be reached at (574) 294-5471 x 109 or via email at barkley.garrett@coei.org.

Thank you,

Mayor, City of Elkhart

## V.B Ranking Criteria for Assessment Grants

#### 1. Community Need

The City of Elkhart's (City) FY15 Brownfields Assessment Grant proposal targets a communitywide effort to assess potentially contaminated sites within an area designated by the City as the Urban Renewal Area Redevelopment Districts (URARD); Garden, Riverwalk, Market, Arts and Entertainment, as well as the Southern Gateway corridor along South Main Street. These Districts are located in a declining urban area immediately north, west and south of the City's downtown and overlap directly with the City's Community Development Block Grant (CDBG) Neighborhood Revitalization Strategy Area (NRSA). It includes and for most part also overlaps the City's downtown designated Tax Increment Financing (TIF) district. This downtown district includes the severely economically distressed neighborhoods generally bounded by Bristol Street to the north, Hively Avenue to the south, Goshen Avenue to the east and Oakland Avenue to the west. The St. Joseph River Greenway is in the northern area of these Districts with the Elkhart Environmental Center and MapleHeart northern trail head in the southern area. Although city streets are used to directly connect each of these trails/greenways, they are important local and regional multi-use recreational trail systems that bisect the targeted area, running over 10 miles to neighboring Goshen, Indiana. In 2012, the census tracts that make up the core of the targeted area were over 66% minority, dominated by African Americans (50.3%) and over 15% of the minority population identifying as Hispanic or Latino. The unemployment rate (Table 1) within the core targeted area is 18.3% (compared to Elkhart County's rate of 11%). Rates of health problems are higher within the targeted area as sensitive populations are disproportionately impacted by their proximity and exposure to brownfield contaminants.

Through its 2020 Vision to Supporting our Main Assets (SoMa), and leveraging the CDBG NRSA annual investments, the City and its community partners have prepared a downtown redevelopment strategy to create neighborhoods to attract residents, investments, and entrepreneurial interest and activity in the targeted area. This plan articulates a vision for the sustainable revitalization of these disadvantaged neighborhoods based on economically affordable housing and the sustainable reuse of abandoned or underutilized lands. The targeted area has one of the highest concentrations of brownfields, yet has excellent local and regional transportation corridor access providing for a high potential for redevelopment. With the award of the Assessment Grant, the City will be able to spur the redevelopment of brownfields within the targeted area of greatest need as it looks to implement its SoMa vision and CDBG NRSA revitalization strategy.

#### 1a. Targeted Community and Brownfields

1.a.i) Targeted Community Description: Elkhart is a typical Midwestern town with a heavy industry-based past. Elkhart's prominent industrial history started in the 1800s and was driven by numerous band instrument manufacturers and pharmaceutical companies. The presence of Elkhart's rail yard encouraged the development of a number of other businesses and industries in the community. The manufactured housing and recreational vehicle companies have flourished in Elkhart since the 1950s, and Elkhart is known as a world leader in these industries. However, over the past few decades, many of Elkhart's older industries have relocated, ceased operations, or reduced production, and many of the manufactured housing and RV companies expanded and relocated to greenfields toward the edge of the City. As a result, old industrial properties and buildings in the city remain as underutilized or abandoned properties, contributing to neighborhood blight and economic strain in the downtown and surrounding areas.

The targeted area is the Urban Renewal Area Redevelopment Districts (URARD) located primarily in the central downtown area of the City, incorporating census tracts 1601, 1901, 2600, 2700, and 2900. The URARD is the north and south primary corridors into the City's downtown with significant redevelopment potential; however, they are lined and intermixed with abandoned industrial and commercial sites, leaking contaminants into the soil and groundwater. The St. Joseph and Elkhart Rivers converge in the middle of this targeted area, resulting in both combined sewer overflow health impacts to the local residents as well as underutilized localized transit and recreational opportunities. Over 5% of the project area, including the area with the highest brownfield concentration, is within the North Main Wellfield protection area, a major groundwater source for the City's drinking water supply. The targeted area also borders the City's South Wellfield protection area, posing potential impacts to the wellhead water production planning area.

1.a.ii)Demographic Information

Table 1. Demographic Information							
	Project	Census	City of	Elkhart	1	Nation	
	Area(1)	Tract 2600	Elkhart	County	Indiana		
Population	24,011	5,039	50,949	197,559	6,483,802	309,138,711	
Unemployment	18.3%	22.4%	14.6%	11.0%	9.6%	9.3%	
Poverty Rate	32.0%	46.6%	28.0%	16.5%	15.0%	14.9%	
Per Capita Income	\$15,540	\$10,175	\$17,957	\$21,866	\$ 24,635	\$28,051	
Median Household Income	\$30,805	\$23,898	\$34,616	\$46,712	\$ 48,248	\$53,046	
Vacant Housing Units	19.2%	16.4%	16.9%	9.7%	11.4%	12.5%	
Reported meth labs ('07-'14)	53	5	207	385	9,506	N/A	
65 Years or Older	9.5%	6.4%	11.2%	12.1%	13.3%	13.2%	
Minority	31.4%	66.2%	30.4%	17.0%	15.4%	23.5%	
Black or African American	19.6%	50.3%	16.9%	5.7%	10.1%	13.6%	
Under 5 years old	9.0%	10.7%	9.6%	8.1%	6.6%	6.5%	
Females of Child-bearing Age (15-50)	48.4%	52.2%	47.7%	45.3%	47.2%	48.5%	
All live births (last 12 months)	302	33	1107	3480	83,444	4.0M	

Source: U.S. Census Bureau, 2010; American Community Survey 5-year Averages, 2012; Bureau of Labor Statistics: Indiana Methamphetamine Investigation System, ISP Meth Lab Locations Map (2014)

(1)Project Area delineated as the Elkhart Urban Renewal Area Redevelopment TIF District. Data is from census Tracts 1601, 1901, 2600, 2700, and 2900.

1.a.iii) Brownfields: The Elkhart Urban Renewal Area Redevelopment Districts (URARD) are the primary north and south corridors into the City's downtown with significant redevelopment potential; however, they are lined and intermixed with abandoned industrial and commercial sites, leaking contaminants into the soil and groundwater. Historical evidence, including past inventory identification through 2007 USEPA Community-Wide Petroleum Assessment grant and a 2009 USEPA Community-Wide Hazardous Substance Assessment grant, indicates the many commercial and industrial operations such as dry cleaning, gas stations, metal plating, commercial laboratories, foundry and smelter, coal yards, pharmaceutical research, railroad yards and plastic manufacturing have likely left behind contaminants of concern (COC) within the targeted area. The URARD are primarily composed of residential parcels and home to schools, five parks, the Elkhart Environmental Center (EEC) conservatory, EEC trails, the St. Joseph Greenway trails, and the MapleHeart Trail. These features act as local attractions and are

well used by areawide residents and children. These brownfields pose a health threat to the residents and visitors through skin contact, ingestion of soils or water, or inhalation of airborne COCs. The COCs typically associated with these sites are linked to various detrimental health effects including cancer, endocrine disruption, headaches, brain damage, gastrointestinal, hematological and immunological effects, weakness, depression and fatigue, and renal effects. The development community of Elkhart is staying away from reuse of these properties, not wanting to become responsible for any potential cleanup of such sites. With the award of this assessment grant, the City will be able to spur the redevelopment of these brownfields by assisting landowners and developers with identification and characterization of the contamination present.

La.iv) Cumulative Environmental Issues: Many of the industrial sites within the target area have ceased operations contributing to the high prevalence of brownfields, which have contributed to ongoing air and water pollution affecting this neighborhood. There are several contaminants present in the soils and groundwater within the URARD that are linked to long term health effects in children and aging populations. In particular, benzene and lead have been identified at levels above the EPA cancer benchmark resulting in residents manifesting a disproportionate occurrence of respiratory diseases including asthma, lung cancer, and pneumonia. Within these brownfield areas and the abandoned buildings and houses, there are active methamphetamine labs that continue to contribute to neighborhood pollution and health impacts. The targeted neighborhoods are served by combined sewers, with 11 Combined Sewer Overflow (CSO) outfalls located on Elkhart River along the area eastern boundary and 21CSO outfalls on the St. Joseph River bisecting the area's along its north-central axis. With over 75% of all outfalls in or upstream of the targeted neighborhoods, these waterways present a significant health hazard through direct contact via parks and embankment access. These sites overflow during wet weather events from 20 to 50 times per year.

1.b) Impacts on Targeted Community: The area targeted for brownfields assessment is home to many members of sensitive populations, as seen in Table 1. The elderly, the young and new mothers are especially susceptible to environmental contamination common to the areas around brownfield sites. The URARD neighborhoods are comprised of 31.4% minorities, several times that of the state (15.4%) or country (23.5%). A heavily brownfield-impacted part of the project area (Tract 2600) is disproportionally home to a minority population (66.2%), to those under 5 years of age (10.7%) and to women of childbearing age (52.2%). As noted by the State of Indiana Minority Health Coalition (2011), infant mortality rates for African Americans are nearly three times higher as compared to whites. The COCs impacting the targeted area, such as lead, benzene, and arsenic are carcinogenic. According to the National Cancer Institute's research on cancer-related death rates from 2007 to 2011, Elkhart County has an estimated 443 deaths per 100,000 people.

#### 1.c. Financial Need

1.c.i) Economic Conditions: The City of Elkhart and the targeted area suffers from significant economic strain, greater than that of the county at large. As demonstrated in Table 1, the residents suffer a disproportionately high level of unemployment, a higher poverty rate, a lower per capita income, and a higher residential vacancy rate than those in the county, state, or nationally. The City's first financial concern is a lack of quality employment opportunities which is disproportionally impacting impoverished neighborhoods. The City has experienced a great number of major layoff events from significant recreational vehicle manufacturing employers

and has lost 5,305 jobs since 2008. Manufacturing jobs, in particular, have yet to re-emerge at prior levels. In addition, replacement jobs are generally lower paying retail and/or service jobs.

Table 2 – Layoffs and Closures in Elkhart County, IN from 2008-2014 <sup>1</sup>				
Company*	Affected Workers			
Cequent Performance Products Inc.	434			
Gunite Corporation	236			
Keystone RV Company	265			
Medtec Ambulance Corp.	154			
Monaco Coach	1945			
Pace American	256			
Philips Products	257			
Total	5,305			
*Companies with layoffs of more than workers. (1)Source: <a href="http://www.in.gove/dwd/2">http://www.in.gove/dwd/2</a>				

Declaration	Start Date/	Description			
Number	End Date				
EM-3274	2/12/07-	Snow			
EIVI-52/4	2/14/07				
DR 1740	1/7/08-	1.0			
DK-1/40	3/14/08	severe storms and flooding			
DR-1740 (1) Declared b	3/14/08	severe storms and fl mergency Managemer			
		v/disasters) Last updated			

The declining tax base within City and the URARD has put a significant strain on the City of Elkhart's budget. The declining revenue from a significant plummeting of assessed property value (over 9% since 2011), the reduction of associated income tax base annual income, further reductions due a modified property tax system, significant job loss and a disproportionately higher unemployment rate in the targeted area continues to keep the URARD at an economic disadvantage. Through the investment of brownfield assessments and clean up, the community has an opportunity to emerge and, once again, contribute to the economic vibrancy of the City.

12/1/2014

1.c.ii) Economic Effects of Brownfields: Elkhart's Brownfield Development Program (BDP), administered through the Economic Development Department (EDD), works diligently to identify, assess, cleanup, and redevelop contaminated sites across the city. However, the program's financial resources are insufficient for the scale of community need. The City's budget for the BDP has decreased considerably in the last several years even though the BDP is the primary City program for removing the barriers to redevelopment and returning sites to productive use.

The BDP has completed their 2009 \$200,000 EPA Hazardous Substance Brownfield Assessment grant. The assessment funding was barely adequate to assess numerous brownfield sites in that grant's target area as well as City wide. Due to the large number of contaminated sites, additional funding is needed for brownfield assessment in URARD. While some TIF funds are available for economic development activities within the targeted area, this money has already been earmarked for specific public infrastructure improvements in the neighborhood.

Every economic indicator shown in **Table 1** for these neighborhoods conveys the significance of the economic distress this area endures. The unemployment (22.4%) and poverty (46.6%) rates for Census Tract 2600 are twice the corresponding values for the Indiana and the nation. Per capita income (\$10,175) and median household income (\$23,898) for this tract is less than one half of corresponding values for the state and nation. Unemployment in the census tracts comprising the URARD is 18.3%, almost double that of Elkhart County (11.0%). The high percentage of vacant housing in the project area (19.2%) indicates a lack of affordable housing

options and/or a lack of economic opportunities encouraging residents to stay in the area, illustrating the economic plight of this neighborhood.

The lack of commercial investment and an un-employed or under-employed population contributes to the decline of the area. This decline includes a growing vacant and abandoned housing problem, illegal dumping, increasing crime rates and a mounting reputation as a blighted and undesirable part of the community. Believed to be directly related to the poverty and decline issues of the targeted area, the URARD and the City also have a considerable methamphetamine (meth) issue. As indicated in Table 1, the targeted area has over 25% of the City's total reported meth labs. Some of these sites are located in the vacant and/or abandoned housing units with each one presenting unique health, safety, and environmental issues for the exposed sensitive population.

## 2. Project Description and Feasibility of Success

#### 2.a. Project Description

2.a.i) Project Description: The City's Brownfield Development Program (BDP) will lead a successful Assessment Grant project which supports neighborhood revitalization goals by building upon the significant community-based planning work already undertaken within the Urban Renewal Area Redevelopment Districts (URARD) neighborhoods. Through establishment of the Consolidated South Elkhart Economic Development Area and TIF Allocation area in conjunction with the annual focused CDBG NRSA funding dedicated to the URARD, the City and its community partners have developed plans for targeted redevelopment and revitalization. The planning efforts include a 2020 Vision of sustainable development through the Support our Main Assets (SoMa) to create neighborhoods to attract residents, investments, and entrepreneurial interest and activity in the targeted area. This plan articulates a vision for the sustainable revitalization of these disadvantaged neighborhoods based on economically affordable housing and the sustainable reuse of abandoned or underutilized lands. With the award of an Assessment grant, the City will be able to spur the redevelopment of brownfields within URARD as part of its larger revitalization plan. Leveraged with other targeted efforts, this grant will have a substantial impact toward implementing existing revitalization plans created by the City and its community partners and reconnecting the residents of this overburdened area with surrounding communities. Anticipated outcomes of this Assessment grant are consistent with several goals and outcomes identified in these plans including increased commercial development, increased job opportunities, expanded trail/greenway access, and neighborhood beautification.

**2.a.ii)** Project Timing: Upon award, the City will prepare a draft Assessment Grant Work Plan, coordinate public announcements of the grant award, and solicit public comment on the draft work plan which will then be submitted to U.S. EPA for approval. The project team, which also includes the City's Economic Development Department, Elkhart Public Utilities, and the Community and Government Partners will act as an advisory committee to provide guidance on site prioritization, utilization of grant funds, and leverage of additional resources throughout the funding period.

In order to select those sites that are to be assessed, the Project Team will evaluate Brownfield Sites located along the North and South Main Street Corridor and prioritize those properties most likely to have high potential for redevelopment and enhance the residents health and quality of life. When a site is selected for assessment, the City will submit an eligibility determination to the U.S. EPA and/or the Indiana Department of Environmental Management (IDEM). Upon

proper approval of site eligibility, community notification will occur and a site Property Profile Form will be entered into the Assessment, Cleanup, and Redevelopment Exchange System (ACRES).

**2.a.iii)** Site Selection: The Project Team will prioritize brownfield sites located within URARD, focusing along the north and south Main Street corridor. Top ranked properties will be those that (1) have imminent redevelopment potential (i.e., property ownership and access) and (2) impact community health and quality of life (i.e., proximity to sensitive populations, known/suspected contaminants, and identified reuse).

## 2.b. Task Description and Budget Table

#### 2.b.i) Task Description

### Task 1 - Target Corridors Site Prioritization: \$19,000.

The Elkhart Brownfields Program Team will evaluate those Brownfield Sites located along the north and south Main Street corridors, including the southern Gateway on into and including the downtown Urban Renewal Area districts and prioritize properties. The top hazardous substances and petroleum sites will be designated as *Priority 1 Sites* and advanced for approval to undergo Phase I and Phase II Environmental Site Assessments (ESAs). *Priority 2 Sites* will also be located along the identified priority corridor and/or within the downtown Urban Renewal districts but may require preliminary assessment to begin removing redevelopment barriers. *Priority 3 Sites* are those that, at the time of the prioritization activity, did not rise to the top but during the three year term of the grant, changed in such a way that the site's profile matched that of a Priority 1 Site. Task 1 outputs include the documentation of the prioritization process and resulting identification of those sites to be assessed.

Task 2 - Phase I and II Environmental Site Assessments: \$336,000: The selected sites will be evaluated through the performance of Phase I and/or Phase II Environmental Site Assessments (ESAs). Phase I ESAs will be carried out according to the requirements of All Appropriate Inquiry (ASTM Standard E1527-13) to identify environmental conditions which could indicate the presence of contaminants. The environmental consultant will design a Phase II ESA to investigate the areas of potential environmental impact in accordance with ASTM Standard E1903-97 and the IDEM Remediation Closure Guide (RCG), as appropriate. For those sites where a Phase II ESA is to be performed, a Sampling and Analysis Plan (SAP) and Health and Safety Plan (HASP) will be submitted to the U.S. EPA for review and approval. Upon SAP and HASP approval, the environmental consultant will conduct a Phase II ESA of the approved sites. The City will favor environmental contractors who are using local resources and have project experience in all aspects of brownfield redevelopment, qualifications in assessing sites for sustainable design elements during redevelopment, and a minimum number of Qualified Environmental Professionals per ASTM standards. The costs for completing Phase I and Phase II ESAs will vary depending upon the size and complexity of the sites. Based on past ESA experiences in the Elkhart area, it is anticipated that a Phase I ESA will average \$4,000 in cost and a Phase II ESA will average \$40,000 in cost. The City anticipates spending 81% of grant funds on Phase I and Phase II Assessments, with the majority of funds spent on Phase IIs. Anticipated Task 2 Outputs Include:

- 12 Phase I Environmental Site Assessments of Petroleum Sites
- 12 Phase I Environmental Site Assessments of Hazardous Substances Sites
- 3 Phase II Environmental Site Assessments of Petroleum Sites
- 3 Phase II Environmental Site Assessments of Hazardous Substances Sites

Task 3 - Programmatic Costs: \$27,000. Proposed costs include \$6,000 of personnel services

and \$3,000 in fringe benefits to support grant-related programmatic costs; \$14,000 of contractual services to support the program in updating brownfield information, coordinating assessment completion, and ensuring proper information distribution; \$3,000 to fund travel & training costs for city staff to attend the national brownfields conference, conference fees, HAZWOPER training, and OSHA 40 hour training for two employees; and \$1,000 for supplies. Supplies proposed include: personal protective equipment (PPE) such as hard toe shoes, hardhats, security vests, flashlights, as well as XRF rental and related required supplies to conduct daily site visits and inspections. Anticipated Task 3 Outputs will be coordination with US EPA, quarterly progress and financial reporting, and contract oversight.

Task 4 – Community Outreach: \$10,000. Costs proposed include \$10,000 for computer related and printing supplies to assist community development partners with public outreach efforts, and for web updating contracting and design efforts to relate the project reports. Assessment results will be communicated with the public through the City's website, notification of community organizations, neighborhood partner newsletters, and public meetings. If health threats are identified, the IDEM and Elkhart County Health Department (ECHD) will be notified. The outputs planned are properly updated web presence as well as community focused newsletter updates of projects. Task 4 outputs include the documentation of grant award announcement, stakeholder outreach activities, public meetings, Elkhart Economic Development Department (EEDD) meetings.

Task 5 – Inventory: \$8,000. Proposed costs include continued development of the area-wide brownfield planning efforts and GIS updates to include data collected by the City partners and stakeholders. The environmental consultant will use site data from the ESAs to work with the City and its partners to develop proper site liability management and cleanup and reuse strategies designed to improve community health and support Urban Renewal Area districts' redevelopment efforts. Area-wide brownfield planning efforts will continue and update GIS data already collected in past projects. Task 5 outputs include an updated GIS and site reuse strategies.

2.b.ii) Budget Table

Table 4. Proposed Budget	t for Haza	rdous Subs	tances an	d Petrole	um Asse	ssment	
	Hazardous Substances Assessment Grant Project Tasks						
(programmatic costs only)	Task 1	Task 2	Task 3	Task 4	Task 5	Total Budget	
Personnel			\$3,000			\$3,000	
Fringe Benefits			\$1,500			\$1,500	
Travel			\$1,500			\$1,500	
Equipment							
Supplies			\$500	\$5,000	\$2,000	\$7,500	
Contractual	\$9,500	\$168,000	\$7,000		\$2,000	\$186,500	
Other							
Total Budget - Haz	\$9,500	\$168,000	\$13,500	\$5,000	\$4,000	\$200,000	
		Petroleum Assessment Grant Project Tasks					
(programmatic costs only)	Task 1	Task 2	Task 3	Task 4	Task 5	Total Budget	
Personnel			\$3,000			\$3,000	
Fringe Benefits			\$1,500			\$1,500	
Travel			\$1,500			\$1,500	
Equipment							
Supplies			\$500	\$5,000	\$2,000	\$7,500	

Contractual	\$9,500	\$168,000	\$7,000		\$2,000	\$186,500
Other						
Total Budget - Petrol	\$9,500	\$168,000	\$13,500	\$5,000	\$4,000	\$200,000

2.c. Ability to Leverage: The City has incorporated public-private-partnerships within the Brownfield Development Program in efforts to extend the limited City resources. With the award of this community-wide brownfields assessment grant, the City will continue to focus its available funds towards supporting the targeted area. The City currently anticipates potential available leverage funding from sources such as: TIF funds, CDBG funds, Neighborhood Stabilization Program funding, Indiana Housing and Community Development Authority funds, Aurora Capital revolving loan program funds, EDIT funds, Elkhart Utilities Public Works Capital Improvement program funds, and Elkhart Urban Enterprise Association funds. To support assessment grant operations, the City will also contribute in-kind services by providing supplemental staff time and resources (meeting rooms, limited educational materials, etc.) needed for public notices, information sessions, hearings, and other activities. The City also will provide supplemental staff time to oversee the financial transactions associated with grant activities.

#### 3. Community Engagement and Partnerships

3.a.Involving Targeted Community & Other Stakeholders; Communicating Project Progress

3.a.i) Community Involvement Plan: Community stakeholders (e.g., neighborhood organizations, citizen groups, business community, and redevelopers) will be involved in site selection for assessment, cleanup decisions, and redevelopment planning activities. The public will be involved through discussions, Redevelopment Commission meetings, and planning sessions from the initial award through assessment and remedial action planning and implementation. Elkhart's Brownfields Program staff will present findings and provide updates on brownfield projects at Redevelopment Commission meetings and Brownfield Action Team meetings, at which time all residents will be invited to provide comments and input. The City will work closely with our cooperative partners to ensure that the public is kept apprised of the project's progress. Redevelopment Commission meetings are frequented by many residents and members of the local media. These meetings will provide a great pathway to distribute information to the public.

3.a.ii) Communicating Progress: The City will create a Brownfield Action Team to act as a steering committee for the grant by providing input on site selection, prioritization for assessment, and any subsequent remediation or redevelopment activities. The Brownfield Action Team will consist of members from its Community and Government Partners as well as local residents. Following the receipt of this grant award, Elkhart will re-engage the community members of the Brownfield Action Team through direct communication with participating individuals and organizations. An informational repository will be held at the Elkhart Public Library to allow members of the public to review the information and technical aspects of this grant.

The City will utilize the assistance of the Chamber of Commerce and the Elkhart Urban Enterprise Association to disperse information about the grant. The City's Brownfield Coordinator will also make efforts to speak at local service club meetings and at schools. Elkhart also has a fairly large Hispanic population (approximately 23% according to the 2010 U.S.

Census). The City will ensure that informational documents are also created in Spanish, so that Spanish speaking residents will be reached. The City will also provide Spanish translators for public meetings to translate information about the grant, as needed.

Following notice by U.S. EPA of a Brownfields Assessment Grant Award, the City will announce the award to the community through a press release to the local newspapers (including local Spanish-language newspapers), notice on the City's website, and notices to each of the local Neighborhood Associations and Business Interests. An initial public kick-off meeting will be held in or near the targeted area to familiarize community leaders, lenders, developers, citizens groups and other stakeholders with project goals and timelines. The City will hold additional regular public meetings throughout, and when additional information is requested by citizens or community organizations. Project updates will be provided at monthly Redevelopment Commission meetings. Spanish-language interpreters will be available and written materials will be provided in Spanish as required to ensure the community outreach process is inclusive. If needed, City staff will attend Neighborhood Association and/or community organization meetings to discuss the project. Meetings will explain the project, solicit community feedback, address concerns, and explain relevant health and environmental impacts. If health threats are identified, the Elkhart County Health Department (ECHD) will be engaged.

#### 3.b. Partnerships with Government Agencies

3.b.i) Local/State/Tribal Environmental Authority: The Assessment Grant will be managed by the City's Brownfields Development Program (BDP) team. The BDP team will be the lead agency on the project. Sarah Mitchell, Regulatory Affairs Director with the City of Elkhart Public Works and Utilities, will serve as the BDP team Brownfield Coordinator. The BDP team will further be responsible for properly procuring all grant-related contractual services and submitting all required grant reports to the U.S. EPA. The BDP team is headed by the Economic Development Department Manager, Barkley Garrett, who will serve as the project manager.

3.b.ii) Other Relevant Governmental Partnerships: The City has a strong existing relationship with the Indiana Department of Environmental Management and the Indiana Finance Authority and will build upon that partnership to ensure the site assessments are conducted in consideration of the criteria established by IDEM/IFA under the RCG to identify and address on-site health and environmental threats. The City will make use of partnerships with local and state environmental/health agencies to ensure project success. The expertise and experience of ECHD will be engaged to help identify and/or confirm any health threats posed by local contamination; identify related toxicological issues and perform risk evaluations; design and conduct education/notification programs; and coordinate health testing if migration of contamination from the site is confirmed. Through the 2012 Elkhart County Community Health Assessment, as prepared by the Elkhart General Hospital and IU Health Goshen Hospital, the City initiated partnerships with this local-regional health network. The City looks to continue to integrate these partners into the brownfield and targeted area sensitive population's health impact identification and response.

#### 3.c. Partnerships with Community Organizations

3.c.i) Community Organization Description & Role: The following is a list of some of the community-based organizations that will be involved with this project.

Elkhart Chamber of Commerce, Kyle Hannon, President. The Elkhart Chamber of Commerce is an essential asset to the City of Elkhart and has been assisting businesses since 1926. The Chamber has 500 volunteers and 1100 business members, and is a vital player for business

development in Elkhart. The Chamber's Economic Development Committees are active in environmental and brownfields issues, and one of the Chamber's many objectives is to assist with the revitalization of brownfields. During this grant project, the Chamber will periodically advertise information regarding this grant in weekly and monthly newsletters. The Chamber will also play a role in sharing information about the grant with developers and business leaders in the Elkhart area.

<u>Downtown Elkhart, Inc.</u> Dan Boecher — Executive Director. DEI is a not-for-profit organization charged with managing the Live and Work components of the downtown community planning initiative, focused on improving the commercial and residential environments in the greater downtown area. We believe in achieving the goal of a vibrant community core through collaboration with all vested parties, residents and investors. DEI will seek out and engage potential private developers for the sites that are addressed with the Brownfield grant as well as reach out to business and residents in the target areas.

<u>Supporting Our Main Assets (SoMa)</u>, Diana Lawson. SoMa is a new 2011 Elkhart community planning initiative. Convened by Mayor Dick Moore as a study group, they are taking the next steps in downtown development for South Main Street. SoMa will utilize its extensive distribution list to keep the business leaders and residents informed of the program progress.

The Elkhart County Convention and Visitors Bureau (ECCVB), Michael Huber. ECCVB is a private, nonprofit corporation, represents the interests of potential and actual visitors to Elkhart County. The ECCVB is the official tourism advertising/marketing and public relations organization for the Elkhart County hospitality industry. ECCVB will provide meeting space and promote the area's revitalization for both business interests as well as to bring in more visitors.

<u>LaCasa</u>, <u>Inc.</u> Larry Gautsche – President. LaCasa, Inc., is community development organization serving the neighborhoods in the target areas. LaCasa develops and manages affordable quality housing and facilitates a quality of life improvement plan. LaCasa can contribute assistance with outreach, data gathering, meeting facilitation for resident input and information sharing. LaCasa also has had previous successful experience with repurposing brownfield properties.

Elkhart Redevelopment Commission, Steve Eldridge, President. Elkhart's Redevelopment Commission (RDC) is a group of volunteers responsible for authorizing all City activities regarding public redevelopment. The RDC has overseen numerous brownfield redevelopment projects, including the Elkhart RiverWalk Redevelopment Project. The RDC will be involved with the consultant hiring and request for proposal processes. RDC meetings are frequently attended by a wide variety of local residents and provide an opportunity for residents to voice their opinion on all redevelopment projects. The RDC will oversee the use of the grant funds and will be able to provide additional funds from the Tax Increment Financing (TIF) Districts. The RDC contemplates playing a major role in the redevelopment of properties in the Downtown District and Southern Corridor as they have over the past sixteen years.

Elkhart County Planning and Development. Laura Coyne. Since 2006, Elkhart County has had great success in implementing five USEPA Brownfield Assessment grants. Elkhart County has partnered with the City on performing assessments on some of our brownfield sites and has provided the City with valuable information pertaining to the EPA grant process. The City of Elkhart and Elkhart County offices understand that brownfield issues within Elkhart City limits are issues that impact the County as a whole, and both local governments are making every effort to maintain this positive relationship. They will provide the dissemination of program information regionally and continue coordinate brownfield reuse initiatives with the City.

Elkhart County Health Department, Gabriel L. Kowatch. Elkhart's Brownfields Program has

partnered in many activities with Elkhart County offices including the County Health Department and the County Planning Department. The Elkhart County Health Department has an extremely sophisticated Environmental Health Program that has been directly involved in the implementation, management, and enforcement of the Elkhart County Groundwater Protection Ordinance that was established in the late 1980s. The City and the County Health Department are establishing a very valuable informational and consultation partnership, where information pertaining to the environmental condition of our sites is shared and discussed. We anticipate providing direct health related information to the community based upon the results of the grant funded property assessments.

Economic Development Corporation of Elkhart County, Dorinda Heiden-Guss. Economic Development Corporation of Elkhart County is a not-for-profit economic organization serving the community with the cities, towns, chambers of commerce, investor partners, and businesses of Elkhart County, Indiana. Its primary objectives are the retention and expansion of local businesses, attraction of new businesses to Elkhart County, and local entrepreneurial development. The EDC will facilitate the redevelopment of the targeted areas.

<u>Habitat for Humanity</u>. Tom McArthur. Habitat for Humanity of Elkhart County is a non-profit organization that is committed to building sustainable and affordable homes for families in Elkhart County, Indiana. Habitat for Humanity will provide technical assistance in brownfield reutilization, especially related to our residential strengths.

Tolson Center, Clyde Riley. The Tolson Center is committed to providing high quality engaging activities and positive educational support to empower and equip the youth of the Elkhart community toward a lifetime of hope and success. Tolson is a community center that serves and includes the entire Elkhart community. They address the educational, social, and recreational needs of young and old, rich and poor, challenged and gifted. The Tolson Center connects with the community and partners with more than 35 different organizations in Elkhart County. The Tolson Center will utilize their extensive distribution list to keep the impacted community informed of the progress of the program.

Hudson Sterling Neighborhood Association (HSNA), Dwight Fish, Association Coordinator. HSNA is directly involved in the southern portion of the targeted area and provides direct access to the residents of their neighborhood area. Project information and updates will be distributed through the HSNA distribution lists.

North Riverside Neighborhood Association (NRNA), Kristen Senne — Chair. NRNA is directly involved in the northern portion of the targeted area and provides direct access to the residents of their neighborhood area. Project information and updates will be distributed through the NRNA distribution lists.

Additional organizations, including active Neighborhood Associations and Community Development Corporations may join the project team throughout the project.

3.c.ii) Letters of Commitment: Letters of Commitment are found in Attachment D.

#### 4. Project Benefits

**Project Outcomes:** With the award of an Assessment grant, the City will be able to spur the sustainable redevelopment of brownfields within URARD as it begins implementation of the Brownfield Development Initiative to achieve the following outcomes:

- Preparation for new, affordable, energy efficient housing choices for area residents
- Preparation for new pedestrian trails along the southern Gateway Corridor connecting existing RiverWalk, Elkhart Environmental Center, and MapleHeart trail systems

- Preparation for commercial investment leading to increased access to diverse employment opportunities
- Reduction in contaminant exposure for local residents
- Sustainable reuse of idle or underutilized land
- Sustainable reuse of infrastructure
- Protection of city water supplies (North and South Wellhead Protection Areas)

#### 4.a. Health and/or Welfare and Environment

**4.a.i)** Health and/or Welfare Benefits: Elkhart contains several large chlorinated solvent groundwater contamination plumes that are potentially impacting residential neighborhoods, and residents may be affected through well water use or vapor intrusion. Much of the groundwater contamination is within the central core of the City in or around the URARD targeted area, occupied primarily by sensitive populations. Several source sites have been identified and are being addressed, but it is likely that many small brownfields are contributing to this community-wide issue. In short, this grant will be extremely beneficial for the City of Elkhart in helping identify and assess potential human health threats from brownfield sites.

Meth lab assessments will also be performed as a human health protection measure. Assuming that meth lab sites meet eligibility requirements for EPA funding, assessments will be performed on properties that are owned by low-income residents that did not cause or contribute to the contamination. Most of Elkhart's meth lab cases occurred illegally on properties where the owner was not aware of the activity. An assessment on these properties will allow property owners and assisting government agencies to determine the extent of contamination that is present and the amount of funds that will be necessary to remediate the property and get it to a condition that is safe for human habitation. This very important first step will likely trigger reuse of these properties.

Operating procedures will ensure that the community is protected from environmental contaminants during the course of the assessment work. Access to sites will be restricted during operations and a Health and Safety Plan (HASP) will be completed for each site where intrusive sampling will occur. As specified in the HASP, when subsurface samples are collected or hazardous building materials are disturbed, the ambient level of vapors and particulates will be monitored and controlled. Purge water and decontamination water generated as a result of groundwater sampling will be contained on site and disposed of properly. Data generated as a result of the assessment will be used to determine how to best protect the community during remediation and redevelopment of the site.

**4.a.ii** Environmental Benefits: By quantifying brownfield contamination within the Elkhart targeted areas through site assessment, a plan can be developed for remediation and redevelopment leading to a decrease in cancer rates, asthma hospitalizations, and infant mortality rates. The result will be a reduction in contaminant exposure for local residents, a healthier local environment, and a safer recreational corridor for children. Social benefits of the targeted downtown area's revitalization will include a reduction in blight after successful redevelopment, the creation of revitalized space along the city's primary transportation corridors and waterways, increased opportunities for healthy areas to live, work and play, and additional location options for affordable housing.

#### 4.b. Environmental Benefits from Infrastructure Reuse/Sustainable Reuse

4.b.i) Planning, Policies, and Other Tools: The City has taken a great interest in the downtown targeted area and the re-introduction of abandoned or under-utilized properties back into viable residential, commercial or industrial use. With the coordinated SoMa downtown initiatives, the reinvestment of both TIF District and CDBG funds, City Public Works and Utilities infrastructure capital improvement program, and the focus of the Economic Development Department, the City has brought all available resources and partnerships to bear to begin the process of revitalization. As discussed in earlier sections, the City has utilized a series of Assessment grants, IFA funds and public-private-partnerships to begin the brownfield identification, inventory and remediation process. The City planning and reinvestment policies need additional assessment funding to continue the momentum they have established.

4.b.ii) Integrating Equitable Development or Livability Principles: The downtown SoMa plan calls for the expansion of location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities combined with the addition of local commercial enterprises and to further enhance pedestrian and bike trails to lower the combined cost of housing and transportation and to increase mobility of the residents of these areas. The City is committed to ensuring redevelopment does not displace residents historically affected by brownfields. When hiring contractors, Elkhart favors local firms and has utilization goals for employing Disadvantaged Business Enterprises.

#### 4.c. Economic and Community Benefits (long-term benefits)

4.c.i) Economic or Non-Economic Benefits: Brownfields redevelopment within the URARD will trigger reinvestment in these disadvantaged neighborhoods, increase property values for both the sites and surrounding properties, and lead to an expanded tax base. Increased housing and retail options in the neighborhood are expected to improve quality of life and attract additional service and retail development, further improving the tax base. Increased numbers of retail shops and service providers are expected to result in improved access to diverse employment opportunities.

Elkhart's Economic Development goals have set brownfields redevelopment as a high priority. As early as 1996, when Elkhart's latest Comprehensive Land Use Plan was drafted, brownfields were identified as a major concern to all of Elkhart's Stakeholders. A main objective of the Comprehensive Plan was for Elkhart to identify brownfields and establish programs to help clean-up and reuse these sites.

4.c.ii) Job Creation Potential: Partnerships with Workforce Development Programs: Economic renewal through job creation and increased tax revenues are primary economic goals for the City of Elkhart's Brownfields Program. Elkhart understands how beneficial assessment funding can be to brownfield redevelopment and the very positive economic benefits that are gained from the redevelopment of our brownfields. For instance, the RiverWalk Commons Project site, which was a former Superfund site, was assessed and remediated using state brownfield funds and has been transformed into a multi-million dollar commercial and recreational complex creating hundreds of jobs in the downtown area and significantly increasing local tax revenues. Elkhart provided several million dollars in local funds for the RiverWalk project, leveraging close to \$15 million of investment from the private sector.

With numerous brownfields in the City of Elkhart, there are more than enough possible sites to be assessed, each providing a different reuse opportunity. In addition, the RiverWalk, which

dead-ends at the Elkhart Foundry site, will be extended to the south to reconnect with River trails to the south of the site, providing a wonderful greenspace and recreational opportunity for the residents of Elkhart.

#### 5. Programmatic Capability and Past Performance

5.a. Programmatic Capability: The Assessment Grant targeted for the downtown URARD and southern gateway corridor areas will be managed by the City's Brownfields Redevelopment Program (BDP) team. The BDP team will be the lead agency on the project. The BDP team Brownfield Coordinator will be responsible for all grant management activities including: approving and submitting action plans and specifications, submitting quarterly reports, updating ACRES, distributing project information to residents and community groups, and tracking progress toward meeting project milestones. The BDP team will further be responsible for properly procuring all grant-related contractual services and submitting all required grant reports to the U.S. EPA. The Brownfield Coordinator will also be charged with tracking fund appropriations and allocation of funds dedicated to each of the projects activities. Mitchell, Regulatory Affairs Director with the City of Elkhart Public Works and Utilities, will serve in the role of Brownfield Coordinator. The BDP team is headed by the Economic Development Department Manager, Barkley Garrett, who will serve as the project manager. Mr. Garrett has 14 years of professional experience researching, writing, and administering federal, state, and local grants. Ms. Mitchell and Mr. Garrett have extensive professional experience in coordinating and communicating with local neighborhood groups, specifically in relation to economic development projects. The project team, which also includes the City's Public Works and Utilities, Community and Redevelopment Department, Building Department, and Grants Department, will act as an advisory committee to provide guidance on site prioritization, utilization of grant funds, and leverage of additional resources throughout the funding period. The City does not anticipate any change in project leadership, but should the need arise to hire additional City staff or recruit new leadership, the City has a hiring process and will ensure that all new hires have experience in managing large federal grants, specifically U.S. EPA brownfields funding. The City's Grants Department employs a full-time Grant Administrator, Robin Wenger. Mr. Wenger has administered \$7.5 million in federal, state, and private foundation awards. He is the City's designee for the request of all federal funds that utilize the US Treasury's ASAP program and will assume this role if this proposal is funded. Using an established Qualifications-Based Selection Process that complies with applicable federal regulations (40 CFR 31.36), the City will retain a qualified environmental consultant to complete grant activities. The selected consultant will be experienced in all aspects of U.S. EPA Assessment Grant implementation and will have demonstrated extensive experience with, and understanding of, the EPA and Indiana Brownfield Programs. The selected consultant will be experienced in the use of green site investigation and remediation techniques during brownfields site assessment and cleanup. Such techniques may include in-situ data loggers, direct-push drilling, idling reduction plans for equipment, and treatment system optimization. The City will favor environmental contractors who are local and have project experience in all aspects of brownfield redevelopment, qualifications in assessing sites for sustainable design elements during redevelopment, and at least a minimum number of Qualified Environmental Professionals, per ASTM standards.

<u>5.b Audit Findings</u>: To date, the City of Elkhart's Brownfield Program has not received any adverse audit findings associated with a State or Federal grant.

#### 5.c Past Performance and Accomplishments

5.c.i) Currently or Has Ever Received an EPA Brownfields Grant: The City's BDP has recently managed a brownfield redevelopment assessment project. Over the past 7 years, the City has brought in one other \$200,000 brownfield assessment grant from the U.S. EPA. The BDP team continues to work and leverage public-private-partnerships, Indiana Finance Authority revolving loan funds and other local, State and Federal resources to identify high priority – high reward sites. The BDP team methodically works a site until it becomes a viable resource again for the community. Previous discussions on the RiverWalk park area identified direct job creation as well as return property to the tax rolls. The BDP additionally receives HUD CDBG entitlement funds to reduce blight and assist with the remediation of contaminated sites.

The following descriptions are of the 2 most recent U.S. EPA Brownfields grants the BDP has received:

- 1) \$200,000 U.S. EPA FY07 Brownfields Petroleum Assessment Grant (\$0 remain): The project provided Phase I and Phase II ESAs were performed on priority based selected brownfields. Post ESA results included the identification and inventory of high value properties for review for remediation. The City created the Brownfield Action Committee that provided direct public involvement and Steering Committee oversight. The City's brownfield inventory was created linking the assessed sites to the GIS parcel tracking system.
- 2) \$200,000 U.S. EPA FY09 Brownfields Hazardous Substance Assessment Grant (\$0 remain): The project provided Phase I and Phase II ESAs as well as Meth lab location assessments. The Brownfield Action Committee provided direct public involvement and Steering Committee oversight. The brownfield inventory was updated and linked directly to the GIS parcel system regarding the City's overall brownfield program, including locations and status of: brownfields, sites of concern, sites ready for redevelopment and redeveloped sites. All quarterly reports have been submitted and are up to date.
- <u>5.c.i.1</u>) Compliance with grant requirements: The City developed Work Plans and successfully negotiated Cooperative Agreements (CA) with the U.S. EPA for each of these grants. The City submitted and received approval for project QAPPs, has complied with quarterly reporting and annual financial status reporting requirements, and has successfully submitted site information and assessment data to the U.S. EPA via the ACRES database for all grants.
- 5.c.i.2) Accomplishments: The City was able to take the initial Assessment grants, inventory and prioritize the known and high return properties in order to further identify remediation needs. Once assessed, the City utilized other local, state, and federal resources to further clean up the properties and return them for re-use, leverage the cleanup investments for localized job creation and bring some of the properties back on the tax rolls. The RiverWalk park example has been presented earlier showing how the Assessment Grant resources have led to community public-private-partnerships, direct job creation for the local residents and impacted population, and has returned a brownfield back into a utilized property. The outcomes are directly reflected through the success of the downtown integration of the area into a major recreational destination, removing a primary blighted property literally from everyone's view from Main Street corridor, and has provided a snowball effect on bringing additional residents and stakeholders to the table from the successful project.

# Appendix 3 Assessment Other Factors Checklist

Name of Applicant: <u>City of Elkhart Economic Development Department</u>

Please identify (with an X) which, if any of the below items apply to your community or your project as described in your proposal. To be considered for an Other Factor, you must include the page number where each applicable factor is discussed in your proposal. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal or in any other attachments, it will not be considered during the selection process.

Other Factor  Community population is 10,000 or less.  Federally recognized Indian tribe.  United States territory.  Applicant will assist a Tribe or territory.  Targeted brownfield sites are impacted by mine-scarred land.  X Targeted brownfield sites are contaminated with controlled substa	Page #  Page #  Pp 2,3,5,12 & Table 1
Federally recognized Indian tribe.  United States territory.  Applicant will assist a Tribe or territory.  Targeted brownfield sites are impacted by mine-scarred land.	k - 7- 7- 7-
United States territory.  Applicant will assist a Tribe or territory.  Targeted brownfield sites are impacted by mine-scarred land.	F - 7- 7
Applicant will assist a Tribe or territory.  Targeted brownfield sites are impacted by mine-scarred land.	F - 7- 7
Targeted brownfield sites are impacted by mine-scarred land.	F - 7- 7
	k
V Toward house Gald sites are contained a site of the	F - 7- 7
X Targeted brownfield sites are contaminated with controlled substa	& Table 1
X Recent natural disaster(s) (2006 or later) occurred within community	y, causing Pg 4
significant community economic and environmental distress.	
X Project is primarily focusing on Phase II assessments.	Pg 6
X Applicant demonstrates firm leveraging commitments for facilitat	ing brownfield Pg 8
project completion by identifying amounts and contributors of fur	iding in the
proposal and have included documentation.	
X Community experienced manufacturing plant/power plant closure	
later) tied to the targeted brownfield sites or project area, includin	g communities
experiencing auto plant/power plant closures due to bankruptcy or	economic
disruptions.	
X Recent (2008 or later) significant economic disruption (unrelated	to a natural Pg 4
disaster or manufacturing/auto plant/power plant closure) has occur	
community, resulting in a significant percentage loss of community	ty jobs and tax
base.	
Applicant is one of the 12 recipients, or a core partner/implementa	
party of a "manufacturing community" designation provided by the	ne Economic
Development Administration (EDA) under the Investing in Manu	facturing
Communities Partnership (IMCP). To be considered, applicants	must clearly
demonstrate in the proposal the nexus between their IMC	
and the Brownfield activities. Additionally, applicants must	
documentation which demonstrate either designation as one of t	
recipients or relevant pages from a recipients proposal which lists	/describes the
core partners and implementation strategy parties. A core	
partner/implementation strategy party is a local partner organization	
that will carry out the proposed strategy, as demonstrated in letters	
commitment or memoranda of understanding which documents the	
contributions, roles, and responsibilities to the partnership. EDA n	nay provide to

	TDA - 11-4 - Cd.	
1	EPA a list of the core partners/implementation strategy parties for each of the 12	
	"manufacturing community" designees, which EPA would use to verify this	
	other factor.	
	Applicant will serve an area designated as a federal, state, or local	
	Empowerment Zone or Renewal Community. To be considered, applicant	
	must attach documentation which demonstrates this current designation.	
	Applicant is a recipient or a core partner of HUD-DOT-EPA Partnership for	
	Sustainable Communities (PSC) grant funding or technical assistance that is	
	directly tied to the proposed Brownfields project, and can demonstrate that	
	funding from a PSC grant/technical assistance has or will benefit the project	
	area. Examples of PSC grant or technical assistance include a HUD Regional	
	Planning or Challenge grant, DOT Transportation Investment Generating	
	Economic Recovery (TIGER), or EPA Smart Growth Implementation or	
ĺ	Building Blocks Assistance, etc. To be considered, applicant must attach	j
	documentation.	
	Applicant is a HUD Promise Zone community. To be considered, applicant	
	must attach documentation.	
	Applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.	

## **Attachment A**

**Threshold Criteria** 

#### Attachment A

#### **Threshold Criteria**

#### 1. Applicant Eligibility

The City of Elkhart is a General Purpose Unit of Local Government in the State of Indiana.

#### 2. Letter from the State

An acknowledgment letter from the Indiana Department of Environmental Management (IDEM) is included in Attachment B.

#### 3. Community Involvement

A description of how the City of Indianapolis will inform and involve the community and other stakeholders during the planning, implementation and other brownfield assessment activities is provided in the Narrative Proposal, Section 3ai) Community Involvement Plan.

#### 4. Site Eligibility and Property Ownership Eligibility

Not Applicable (applicable to site-specific proposals only).

City of Elkhart Page 1

## **Attachment B**

## **STATE LETTER**



#### INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT

We Protect Hoosiers and Our Environment.

100 N. Senate Avenue • Indianapolis, IN 46204

(800) 451-6027 • (317) 232-8603 • www.idem.IN.gov

Michael R. Pence Governor

Thomas W. Easterly Commissioner

December 19, 2014

Ms. Sarah Mitchell Regulatory and Environmental Affairs Director City of Elkhart Public Works and Utilities 1201 S. Nappanee St. Elkhart, Indiana 46516

Re:

U.S. EPA Brownfields Grant Proposal

**IDEM Acknowledgement Letter** Community-wide Assessment

Hazardous Substances and Petroleum

City of Elkhart

Elkhart County, Indiana

Dear Ms. Mitchell:

This letter is provided in support of the City of Elkhart's (City) proposal to the U.S. Environmental Protection Agency (U.S. EPA) for Brownfields Community-wide Assessment Grant funding. The Indiana Department of Environmental Management (IDEM) acknowledges that the City is applying for \$400,000 (\$200,000 for hazardous substances and \$200,000 for petroleum) to complete Phase I and Phase II environmental site assessments for a variety of residential, commercial, and/or industrial brownfields in the economically depressed downtown Riverwalk, Market, Roundhouse, Arts and Entertainment Redevelopment Districts, as well as the southern gateway corridor pursuant to "A 2020 Vision For Elkhart" City planning document. Grant funding will also be used to conduct brownfield inventory mapping updates and brownfield program training needs.

IDEM believes that the City of Elkhart has demonstrated its commitment to redeveloping brownfields by taking advantage of financial and technical assistance offered by U.S. EPA and the Indiana Brownfields Program in the past (e.g., Elkhart Foundry, LaBour Pump). This assessment grant funding will help the City continue its effort to address brownfields in the community. Should an opportunity arise for the City to need/pursue liability or cleanup assistance at any of the sites investigated with this grant funding, the City will participate in the Indiana Brownfields Program or the IDEM Voluntary Remediation Program as necessary.



U.S. EPA Brownfields Grant Proposal IDEM Acknowledgement Letter December 19, 2014 Page 2 of 2

Based on the information submitted, IDEM considers the City of Elkhart an excellent candidate to receive U.S. EPA grant funding again to continue its brownfield redevelopment efforts, which support Indiana's brownfield initiative. IDEM is pleased to assist and looks forward to continuing its partnership with the City of Elkhart. For further assistance, please contact Michele Oertel of the Indiana Brownfields Program toll free at (800) 451-6027, ext. 4-0235, or directly at (317) 234-0235 or at moertel@ifa.in.gov.

Sincerely,

Bruce A. Oertel, Chief Remediation Services Branch Office of Land Quality

BAO/mmo

cc: (via electronic transmission)

Brian N. Neilson, PE, LEED AP, KERAMIDA Inc. Pamela Cooper Griesemer, KERAMIDA Inc.

## **Attachment C**

## LEVERAGED FUNDS



The Honorable Dick Moore Mayor 574.294.5471 Fax: 574.295.7501

#### Planning & Development

Community Development Economic Development Planning Services 229 S. Second St. Elkhart, Indiana 46516

December 19, 2014

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Leveraged Funds resulting from Federal Grant Opportunity EPA-OSWER-OBLR-14-07 via Catalog of Federal Domestic Assistance (CFDA) No. 66.818.2015 United States Environmental Protection Agency (EPA) \$400,000 Brownfields Assessment Grant Proposal Submitted by the City of Elkhart

Dear Ms. Mitchell:

I am writing to detail the leveraging opportunities available to the City of Elkhart upon the award of a United States Environmental Protection Agency (EPA) Community-Wide Brownfields Assessment Grant. A Community-Wide Brownfields Assessment Grant will assist in the funding of Phase I and Phase II environmental site assessments. The City will utilize the assessment grant funding to identify, detail, map, and update inventories of the potential sites generally focused within the economically depressed downtown Riverwalk, Market, Roundhouse, Arts and Entertainment Redevelopment Districts as well as the southern gateway corridor.

The EPA Community-Wide Brownfields Assessment Grant funds will leverage major funding sources that may include:

- Tax Increment Financing (TIF) District funds
- Community Development Block Grant (CDBG) funds from the United States Department of Housing and Urban Development (HUD)
- Neighborhood Stabilization Program funds
- Indiana Housing and Community Development Authority (IHCDA) funds
- Indiana Brownfields Program State Revolving Loan Fund
- Aurora Capital revolving loan fund
- Economic Development Income Tax (EDIT) funds
- Tax Abatement Development Fund
- Major Moves loan fund

- Public Works funding
- · City of Elkhart General Fund
- Elkhart Urban Enterprise Association funds
- In-kind Services

Although no specific dollar amounts have been budgeted from each funding source, all of the decision making bodies with control over these funding sources have expressed concern about the number of actual and potential brownfield sites that are scattered throughout the city. Each group has demonstrated a desire to make brownfields a priority issue for the community and have expressed a willingness to provide the needed funding for demolition, clean-up, and redevelopment.

Sincerely.

Barkley P. Garrett, CEcD

**Economic Development Director** 

## **Attachment D**

## LETTERS OF COMMITMENT

#### *December 3, 2014*

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant

Application City of Elkhart Downtown Urban Renewal Area Districts and Southern **Gateway Corridor** 

418 South Main Street Elkhart, IN 46516 voice 574.295.8701 fax 574.295.8823

www.downtownelkhart.org

#### Dear Ms. Mitchell:

Downtown Elkhart, Inc. is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We are excited with this direct City commitment to our Community's continued revitalization. We look forward to our role with the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway's redevelopment potential will bring much-needed funding to close the development gap that exists with some of our most blighted areas.

Unfortunately, we have an abundance of under developed or undeveloped areas that remain fallow due to the real or perceived environmental barriers within their boundaries. These assessment funds will help us remove those barriers and help us create specific strategies to deal with whatever problems may exist.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow the for the revitalization of these special places.

If the City of Elkhart is awarded this grant, Downtown Elkhart, Inc. is committed to partnering with the City throughout the grant program. We have the ability to seek out and engage potential private developers that could utilize the newly-developable sites thanks to quantifying the current barriers to development. We can also assist the city in providing a venue for information on the projects to reach the residents and businesses that are within the project areas.

We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Regards

Dan Boecher

**Executive Director** 





418 S. Main St., PO Box 428 • Elkhart, IN 46515-0428 • Phone: (574) 293-1531 • Fax: (574) 294-1859 • www.elkhart.org

December 4, 2014

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Commitment Regarding Community-Wide Brownfield Assessment Grant Application City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

The Greater Elkhart Chamber of Commerce is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We are excited with this direct City commitment to our Community's continued revitalization. We look forward to our role with the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway's redevelopment potential will enhance the visual image of all area properties, instilling pride and vitality in our community and promote the positives of our City that businesses find attractive, including job creation opportunity and amenities for citizens and visitors.

We will assist with outreach, in providing a place for meetings, and in promoting the project and how it is related to the downtown District/Gateway Corridor. The Chamber realizes that without this grant, the City will not have the resources necessary to address the brownfields restricting redevelopment and contributing to the blight of this district and surrounding neighborhoods.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow for the revitalization of these special places.

If the City of Elkhart is awarded this grant, the Greater Elkhart Chamber is committed to partnering with the City throughout the grant program. The Chamber is pleased to allow the use of its meeting rooms through advanced arrangements. We have an overhead projector and screens as well as connections for lap tops. If flip charts and other consumables are needed, the Chamber will provide these, within reason.

We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Sincerely,

Kyle Hannon, President/CEO Greater Elkhart Chamber of Commerce





December 12, 2014

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell,

Habitat for Humanity of Elkhart County is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We are excited with this direct City commitment to our Community's continued revitalization. We look forward to our role with the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway's redevelopment potential will stabilize the neighborhoods by encouraging homeownership and will result in private investment in services to the neighborhoods. Private investment brings jobs to the local community and raises property values, further feeding the upward cycle begun with the government's seed investment in a Brownfield area.

Habitat for Humanity's investment interest lies primarily in the downtown district where we can invest the money to provide housing for families who cannot, otherwise, afford to buy a home. However, the southern gateway corridor is a major entrance into the downtown district and the current condition of the gateway definitely dampens our enthusiasm for investing in this area. The health of the areas is intertwined so addressing the revitalization needs of the gateway corridor will encourage developers, such as Habitat, to invest in the residential district. Sadly, without this grant, the City will not have the resources to address the blight of these brownfields, compounding the downward spiral of disinvestment in these areas of the community.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow the for the revitalization of these special places. If the City of Elkhart is awarded this grant, then Habitat is committed to partnering with the City to prioritize the areas to be redeveloped. Habitat is financially capable of making the investments in owner-occupied housing and is eager to redevelop these areas of the City.





We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Sincerely,

Tom McArthur

Executive Director

#### Hudson-Sterling Neighborhood Association (HSNA) 1627 Elizabeth Street, Elkhart, Indiana 46516

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

The Hudson-Sterling Neighborhood Association (HSNA) is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We are excited with this direct City commitment to our Community's continued revitalization. We look forward to our role with the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the redevelopment potential will bring quality of life issues such as job and living opportunities, economic enhancements for businesses and home owners.

Many of the HSNA residents have expressed their support through offers of facilitated meetings for residents and businesses, fact gathering, locations for meetings, among other needs as they arise in support of this grant process/implementation. Without this grant process, the City of Elkhart will not have the resources necessary to address the brownfields problem, restricting redevelopment and contributing to the blight of this district and surrounding neighborhoods.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances in our downtown neighborhoods will allow for the revitalization of these special places.

If the City of Elkhart is awarded this grant, the HSNA is committed to partnering with the City throughout the grant program. We have the citizen support for assessment activities, community involvement, health impact studies, etc.), to conduct community outreach and education, and to assist in site identification and prioritization. We regularly walk our neighborhood to communicate through fliers, newsletters and notices necessary to make home owners aware of meetings, city programs and construction. Our Mennonite Church has a large gathering space for just such meetings.

We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods. Thank you for your consideration.

Sincerely,

Dwight Fish Director

**Hudson-Sterling Neighborhood Association** 



December 2, 2014

Ms. Sarah Mitchell Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Dear Ms. Mitchell:

LaCasa, Inc. is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. LaCasa, Inc. continues to share the City's commitment to our community's revitalization. LaCasa will continue to support the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway's redevelopment potential will bring greater opportunity for job creation and retail, service and banking amenities currently under represented in South Central neighborhoods.

LaCasa, Inc. is a community development organization serving the neighborhoods impacted by this grant application. LaCasa develops and manages quality affordable housing and facilitates a quality of life improvement plan for affected neighborhoods. LaCasa can contribute assistance with outreach, data gathering, meeting facilitation for resident input and information sharing. LaCasa has previous successful experience with, and may also have resources to facilitate, productive repurposing of brownfield properties. Our experience with redevelopment would indicate that, without this grant, the City, LaCasa and other developers will not have the resources necessary to address the brownfields restricting redevelopment in this challenged area. This grant would provide critical support to our neighborhood revitalization efforts.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow the for the revitalization of these special places. If the City of Elkhart is awarded this grant, LaCasa, Inc. is committed to partnering with the City throughout the grant program. We have the resources to provide for community involvement, to conduct community outreach and education, and to assist in site identification and prioritization.

We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City and LaCasa's commitment and ongoing organized efforts to improve the quality of life within our neighborhoods.

Sincerely

Larry Gautsche President/CEO









Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

The North Riverside Neighborhood Association is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We are excited about this direct City commitment to our Community's continued revitalization. We look forward to our role with the downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway's redevelopment potential will attract new businesses, strengthen existing businesses, draw new residents, promote homeownership, and reduce transience among renters in these areas with extensive needs.

We have assisted the City in the past with communications with residents and businesses and by securing a venue for meetings. We are happy to continue to assist the City of Elkhart in these ways and will strive to assist in other ways as requested and as we are able. We realize that without this grant, the City does not have all the resources necessary to clean up and mitigate the effects of brownfields, which harm redevelopment efforts, contribute to blight, and threaten quality of life in these districts and surrounding neighborhoods.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow for the revitalization of these important places.

If the City of Elkhart is awarded this grant, the North Riverside Neighborhood Association is committed to partnering with the City throughout the grant program. We are able to secure meeting space, collect data, conduct community outreach and education, and assist in site identification and prioritization.

We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Sincerely,

Kristen Senne, Chair

North Riverside Neighborhood Association

Mitten M. Senne



The Honorable Dick Moore Mayor

574.294.5471 Fax: 574.295.7501

#### Planning & Development

Community Development
Economic Development
Planning Services
229 S. Second St.
Elkhart, Indiana
46516

Ms. Sarah Mitchell Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities City of Elkhart 1201 S. Nappanee Street Elkhart, IN 46516

December 3, 2014

RE: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

The City of Elkhart Redevelopment Commission is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. We believe that this direct City commitment to our Community's efforts at revitalization will enhance the redevelopment of our Downtown District and Southern Gateway. We look forward to our role in the the Downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the Districts' and Gateway redevelopment potential will facilitate and encourage private reinvestment in the form of new housing, commercial development, entertainment and recreational opportunities, and civic uses in these areas that will require extensive assistance in the redevelopment process.

The Redevelopment Commission (RDC) will oversee the use of the grant funds and will be able to provide additional funds from the Tax Increment Financing (TIF) Districts. The RDC contemplates playing a major role in the redevelopment of properties in the Downtown District and Southern Corridor as we have over the past sixteen years. We have made great strides in the Downtown District redevelopment but we have more to do to broaden the Downtown area. Our City's Southern Gateway is in need of extensive redevelopment with many of the existing homes, commercial buildings, and industrial properties dating to the late 1890's and early 1900's, all mixed together in various states of disrepair and functional obsolescence. Brownfields are abundant in an area built adjacent to the Norfolk-Southern Railroad tracks. The RDC has cleaned up some of the properties but is limited in what we can accomplish without this grant. A major Main Street overpass of the Norfolk-Southern Railroad tracks currently under construction in the Southern Corridor will require major Streetscape Projects to encourage private redevelopment and development of the corridor properties. We realize that without this grant, the City will not have the resources necessary to address the brownfields restricting redevelopment and contributing to the blight of this district and surrounding neighborhoods.

The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown

neighborhoods and Southern Corridor will allow for the revitalization of these special places in our City. If the City of Elkhart is awarded this grant, the Redevelopment Commission is committed to partnering with the City throughout the grant program. We have the resources to provide monetary support to further leverage the funds received from this grant.

The Redevelopment Commission fully supports the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Regards, Stewards Corner

Steve Eldridge, President

City of Elkhart Redevelopment Commission.



The Honorable Dick Moore **12-12-14** Mayor

Tolson Center 1320 Benham Ave. Elkhart, Indiana 46516

574.295.6456 Fax: 574.522.8425

Ms. Sarah Mitchell Elkhart Public Works & Utilities 1201 South Nappanee Street Elkhart, IN 46516

Re: Letter of Commitment regarding Community-wide Brownfield Assessment Grant Application, City of Elkhart Downtown Urban Renewal Area Districts

Dear Ms. Mitchell,

Tolson Community & Youth Center is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a community's continued revitalization. We are enthusiastic about our role with the Downtown District and Southern Gateway Corridor revitalization focus of this grant. Realizing the District's and Gateway's redevelopment potential will bring enhanced educational opportunities to the Tolson Community & Youth Center, as we are in an active partnership with Elkhart, Concord and Jimtown Community Schools. We desire to establish educational programs with Wellfield Gardens, Lerner Theatre, Environmental Center, Railroad Museum and the Redevelopment Commission. We are also planning a job training program with the Redevelopment that will train and employ people from the South Central area, where there is a need for community education and outreach programs. We realize that without this grant, the city will not have the resources necessary to address the Brownfield's restructuring redevelopment.

The funding provided by the USEPA to the City of Elkhart to access the numerous sites potentially impacted with hazardous substances and petroleum continuation in our downtown neighborhoods will allow for the revitalization of these places.

The Tolson Center will foster an outreach program that will funnel education and social organizations through the Downtown District. If the City of Elkhart is awarded the grant, Tolson is committed to partnering with the City throughout the grant program. We have outreach programs in place to provide ongoing educational programs and assist in site identification and prioritization.

We fully support the City of Elkhart's leadership for the revitalization of our community through this community-wide assessment grant application. These funds are critical to the city's awareness and organized effort to increase the quality of life within our neighborhoods.

Sincerely,

Clyde Riley, Director

Tolson Community & Youth Center

# Elkhart County Redevelopment Commission

## Elkhart County Government

4230 Elkhart Road Goshen IN 46526

December 5, 2014

Ms. Sarah Mitchell Regulatory and Environmental Affairs Director City of Elkhart Public Works and Utilities



Re: Letter of Commitment to Community-Wide Brownfield Assessment Grant for the City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

Elkhart County is pleased to support and cheer on your request of the United States Environmental Protection Agency for a Community-Wide Brownfields Assessment Grant.

The County has enjoyed several successful partnerships with the City for public and environmental health. Together we have compiled an award-winning digital countywide brownfield inventory and a mapped dataset that will lend great efficiency to assessment projects in the Downtown District and Southern Gateway Corridor revitalization. We are glad to give unhindered access to the City for grant implementation and other investigations.

Elkhart County's industrial age manufacturing made heavy use of solvents and other chemicals that remain in our land, basements, backyards and factories. That is why, thirty years ago, we initiated a groundwater protection and inspection division to ensure the end of practices that have left our soils and aquifer human health hazards today. This program has been more active in the City than anywhere else in the county, especially the target area for the grant: the area's hub of 19<sup>th</sup> and 20<sup>th</sup> century industrial activity.

Elkhart has shown to be a responsible and systematic recipient of funding, with meticulous administration and public outreach. This cannot be overstated. It is the local champion of site and materials reuse, and actively pursues sustainable end uses for its remediated brownfields.

A series of assessments has strong potential to lead our city center and gateway areas to much greater promise and economic viability. We welcome the opportunity to collaborate with you on this!

Sincerely,

Laura Coyne

Redevelopment and Brownfield Manager



December 10, 2014

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application - City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

In 2011 Mayor Dick Moore appointed the SoMa Study Group to develop the strategy that would build off the many years of successful downtown redevelopment and highlight the many downtown assets. The SoMa Implementation Strategy is the result of a yearlong comprehensive analysis of downtown Elkhart that builds upon planning efforts of the past while looking towards a bright future. This dedicated group of Elkhart citizens developed recommendations that will result in an energized downtown environment that encourages investment, community pride, and the continued development of a thriving uniquely Elkhart downtown that attracts people to Live, Work & Play.

Those of us involved in the SoMa process are pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. The funding provided by the USEPA to the City of Elkhart to assess the numerous sites potentially impacted with hazardous substances and petroleum contamination in our downtown neighborhoods will allow the for the continued revitalization of these special places.

The formalization of the SoMa Implementation Plan has resulted in the creation of many new ways to communicate with business and resident stakeholders on critical community issues. Through these tools, we were able to help the City and the downtown stakeholders successfully manage a significant stormwater sewer construction project, working through vital issues including traffic disruptions and parking displacement. We feel these tools will be of assistance in this project as a way to conduct community outreach and education, and to assist in site identification and prioritization.

Supporting our Main assets (SoMa) is the mantra adopted to communicate the new downtown comprehensive plan. We fully support the City of Elkhart's leadership for the revitalization of our community - this Community-Wide Assessment Grant Application is one of many examples of its leadership.

219 Caravan Drive Elkhart, Indiana 46514

574.262.8161 Sincerely,

fax 574.262.3925

USA/Canada 800.262.8161

Diana Lawson

SoMa Study Committee Chair

ecconv@amishcountry.org

www.AmishCountry.org



December 10, 2014

Ms. Sarah Mitchell, Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

Re: Letter of Commitment Regarding Community-Wide Brownfield Assessment Grant Application - City of Elkhart Downtown Urban Renewal Area Districts and Southern Gateway Corridor

Dear Ms. Mitchell:

The Elkhart County Convention and Visitor's Bureau (ECCVB) is pleased to support the City of Elkhart's request to the United States Environmental Protection Agency (USEPA) for a Community-Wide Brownfields Assessment Grant. The City of Elkhart has shown tremendous commitment to revitalizing the downtown and its surrounding core neighborhoods, as demonstrated with the downtown District and Southern Gateway Corridor revitalization focus of this grant. The City's commitment is driven by the awareness that a strong, healthy downtown is a vital component of the City's ability to compete in attracting talent and skilled workers.

The ECCVB has also recognized the importance of enhancing a community's quality as a place to live through supporting vibrant, active downtowns, in that these activities support a better overall experience for visitors to the community resulting in extended stays and repeat visitation. In fact, the ECCVB has a formalized tourism development strategy, and downtown development is one of the 4 main pillars of the strategy. The ECCVB has been an active partner in and supporter of the City's SoMa vision for downtown Elkhart. We fully support the City of Elkhart's leadership for the revitalization of our community through this Community-Wide Assessment Grant Application. These funds are critical to the City's conscious, organized efforts to increase the quality of life within our neighborhoods.

Sincerely,

Elkhart County CVB

219 Coravan Drive

Michael J Huber

Destination Development Manager

Elkhart, Indiana 46514 5 7 4 . 2 6 2 . 8 1 6 1

fax 574.262.3925

USA/Canada 800.262.8161

ecconv@amishcountry.org

www.AmishCountry.org



December 12, 2014

Ms. Sarah Mitchell Regulatory and Environmental Affairs Director Elkhart Public Works and Utilities 1201 South Nappanee Street Elkhart, Indiana 46516

RE: CITY OF ELKHART, INDIANA – USEPA Brownfield Grant

Dear Sarah,

Brownfield redevelopment is an essential component to economic growth and prosperity. Removing contamination from tainted soil and groundwater are basic needs any community requires. The City of Elkhart continues to improve the quality and standards for citizens and community.

The Economic Development Corporation of Elkhart County is a partner with the City of Elkhart. The mission is to create an economically vibrant community by recruiting, retaining and strengthening our economic base, and being a catalyst for diversification, innovation and economic change.

To accomplish or even compete in the global market, fundamental basics need to exist. Clean water and soil are necessities. Through the approval of the USEPA Brownfield Grant, the City of Elkhart and the county can move forward in building a better and safer community for future generations.

The EDC of Elkhart County fully supports the City of Elkhart's ask of the USEPA Brownfield Grant to be fully funded to its fullest extent in creating a healthy and vibrant community.

Doilo - Guss

Sincerely.

Dorinda Heiden-Guss

President & CEO

#### **ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

# PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will Initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation

- Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps: (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- 16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
Mohin Werge	Grant Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Elkhart, Indiana	12-19-2014

## **DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

[1. Type of Federal Action:   2	. Status of Feder	al Action:	3. Report Type:					
b a. contract	i ci	offer/application	a. initial filing					
b. grant		ıl award	│     └─── b. materia	_				
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		CFDA Number,	if applicable: 66.818	)				
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		\$ 400,000						
10. a. Name and Address of Lobbyi	ng Registrant	b. Individuals Per	forming Services	(including address if				
(if individual, last name, first na	me, MI):	different from N	lo. 10a)					
Simon and Company, Inc.	·	(last name, first	t name, MI):					
1660 L Street, NW Suite 501		Len Simon						
Washington, DC 20036								
			2.1-11					
11 Information requested through this form is authorized 1352. This disclosure of lobbying activities is a mate		Signature:	ohn lere	Lamona				
upon which reliance was placed by the tier above when or entered into. This disclosure is required pursuant	this transaction was made	Print Name: Robin						
information will be available for public inspection. Any required disclosure shall be subject to a civil penalty of	person who falls to file the		istrator City of Elkhar	t, IN				
not more than \$100,000 for each such failure.		Telephone No.: 57		Date: 12-19-2014				
				Authorized for Local Reproduction				
Federal Use Only:				Standard Form I I (Rev. 7-97)				

## AREAS AFFECTED BY PROJECT

THE CITY OF ELKHART, INDIANA

Brownfields Assessment Grant Proposal RFP No.: EPA-OSWER-OBLR-14-07

CFDA NO. 66-818 December 18, 2014

OMB Number: 4040-0004 Expiration Date: 8/31/2016

Application for I	Federal Assista	ınce SF	-424									
* 1. Type of Submissi  Preapplication  Application		⊠ Ne	ew ontinuation		Revision, select approp	oriate letter(s	s):					
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5a. Federal Entity Ide	entifier:			51	b. Federal Award Ide	entifier:			]			
State Use Only:				<u>. I</u>								
6. Date Received by	State:		7. State Application	Iden	tifier:							
8. APPLICANT INFO	ORMATION:											
* a. Legal Name:	ity of Elkhart	India	na									
* b. Employer/Taxpay				- 1 -	c. Organizational DU	JNS:						
d. Address:												
* Street1: Street2:	229 S. Second	St.								]		
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County/Parish:												
* State: Province:					IN: Indiar	na						
* Country:					USA: UNITED S	<sub>ጥልጥፑ</sub> ር						
* Zip / Postal Code:	46516-3112				OBIT ONTILD B							
e. Organizational U	Init:											
Department Name:				D	vivision Name:							
Economic Devel	opment			В	Brownfields							
f. Name and contac	ct information of p	erson to	be contacted on m	atter	rs involving this ap	oplication:						
Prefix: Mr.			* First Name	e:	Barkley							
Middle Name:												
l <u> </u>	rett	_										
Suffix:												
Title: Economic D	Development Dep	partmen	nt Head									
Organizational Affiliat	tion:								٦			
									<u> </u>			
* Telephone Number	574-294-5647	1			Fax Numb	er: 574-2	95-7501					
*Email: barkley.	garrett@coei.	org										

Application for Federal Assistance SF-424									
* 9. Type of Applicant 1: Select Applicant Type:									
C: City or Township Government									
Type of Applicant 2: Select Applicant Type:									
Type of Applicant 3: Select Applicant Type:									
* Other (specify):									
* 10. Name of Federal Agency:									
Environmental Protection Agency									
11. Catalog of Federal Domestic Assistance Number:									
66.818									
CFDA Title:									
Brownfields Assessment and Cleanup Cooperative Agreements									
* 12. Funding Opportunity Number:									
EPA-OSWER-OBLR-14-07									
*Title:  FY15 Guidelines for Brownfields Assessment Grants									
TITS GUIGETINES TOT BIOWRITETAS ASSESSMENT GIANES									
13. Competition Identification Number:									
Title:									
44 Area Affected by Brainet (Cities Counties States at a)									
14. Areas Affected by Project (Cities, Counties, States, etc.):									
AREAS AFFECTED BY PROJECT.doc Add Attachment Delete Attachment View Attachment									
* 15. Descriptive Title of Applicant's Project:									
This proposal targets a community-wide effort to assess potentially contaminated sites within an									
area designated by the City of Elkhart as the Urban Renewal Area Redevelopment District (URARD).									
Attach supporting documents as specified in agency instructions.									
Add Attachments Delete Attachments View Attachments									

Application for	Federal Assistance	SF-424						
16. Congressiona	Districts Of:							
* a. Applicant	IN-02			* b. Program/Project	IN-02			
Attach an additional	list of Program/Project Co	ngressional District	ts if needed.					
			Add Attachment	Delete Attachment	View Attachment			
17. Proposed Pro	ect:							
* a. Start Date: 09	9/01/2015			* b. End Date:	08/31/2018			
18. Estimated Fun	ding (\$):							
* a. Federal		400,000.00						
* b. Applicant		0.00						
* c. State		0.00						
* d. Local		0.00						
* e. Other		0.00						
* f. Program Income	9	0.00						
* g. TOTAL		400,000.00						
	n Subject to Review By \$							
	ation was made available				iew on			
	subject to E.O. 12372 bu		elected by the State to	r review.				
C. Program is not covered by E.O. 12372.								
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)								
		Federal Debt? (If	"Yes," provide expla	nation in attachment.)				
Yes	No	Federal Debt? (If	"Yes," provide expla	nation in attachment.)				
Yes		Federal Debt? (If			View Attachment			
Yes [	No xplanation and attach		Add Attachment	Delete Attachment	View Attachment			
If "Yes", provide e	xplanation and attach  is application, I certify (	(1) to the statement of the best of m	Add Attachment ents contained in the	Delete Attachment  list of certifications** provide the required	and (2) that the statements assurances** and agree to			
If "Yes", provide e  21. *By signing therein are true, comply with any r	xplanation and attach  is application, I certify (	(1) to the statement of the best of must an award. I am	Add Attachment ents contained in the y knowledge. I also aware that any false,	Delete Attachment list of certifications** provide the required fictitious, or fraudulen	and (2) that the statements			
If "Yes", provide e  21. *By signing therein are true, comply with any r	xplanation and attach  is application, I certify (complete and accurate esulting terms if I accep	(1) to the statement of the best of must an award. I am	Add Attachment ents contained in the y knowledge. I also aware that any false,	Delete Attachment list of certifications** provide the required fictitious, or fraudulen	and (2) that the statements assurances** and agree to			
If "Yes", provide e  21. *By signing therein are true, comply with any resubject me to crim  ** I AGREE	xplanation and attach  is application, I certify (complete and accurate resulting terms if I accepninal, civil, or administrations and assurances, coations and coatio	(1) to the statement of the best of must an award. I am ative penalties. (U	Add Attachment  ents contained in the ny knowledge. I also aware that any false, J.S. Code, Title 218, S	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to			
If "Yes", provide e  21. *By signing therein are true, of comply with any resubject me to crim  ** I AGREE  ** The list of certifity	xplanation and attach  is application, I certify (complete and accurate resulting terms if I accepninal, civil, or administrations and assurances, complete and assurances,	(1) to the statement of the best of must an award. I am ative penalties. (U	Add Attachment  ents contained in the ny knowledge. I also aware that any false, J.S. Code, Title 218, S	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to t statements or claims may			
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If "Yes", provide e  21. *By signing therein are true, comply with any resubject me to crim  ** I AGREE  ** The list of certific specific instructions  Authorized Repre  Prefix:  Middle Name:	xplanation and attach  is application, I certify (complete and accurate esulting terms if I accepninal, civil, or administrations and assurances, complete and assurances.	(1) to the statement of the best of most an award. I am attive penalties. (U	Add Attachment ents contained in the y knowledge. I also aware that any false, J.S. Code, Title 218, S where you may obtain	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to t statements or claims may			
If "Yes", provide e  21. *By signing therein are true, comply with any resubject me to crim  ** I AGREE  ** The list of certific specific instructions  Authorized Repre  Prefix:  Middle Name:	xplanation and attach  is application, I certify (complete and accurate esulting terms if I accepninal, civil, or administrations and assurances, complete and assurances.	(1) to the statement of the best of most an award. I am attive penalties. (U	Add Attachment ents contained in the y knowledge. I also aware that any false, J.S. Code, Title 218, S where you may obtain	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to t statements or claims may			
If "Yes", provide e  21. *By signing therein are true, of comply with any resubject me to crim  ** I AGREE  ** The list of certific specific instructions  Authorized Repre  Prefix: Mr  Middle Name: Wer  * Last Name: Wer  Suffix:	xplanation and attach  is application, I certify (complete and accurate esulting terms if I accepninal, civil, or administrations and assurances, complete and assurances.	(1) to the statement of the best of most an award. I am attive penalties. (U	Add Attachment ents contained in the y knowledge. I also aware that any false, J.S. Code, Title 218, S where you may obtain	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to t statements or claims may			
If "Yes", provide e  21. *By signing therein are true, of comply with any resubject me to crim  ** I AGREE  ** The list of certific specific instructions  Authorized Repre  Prefix: Mr  Middle Name:  * Last Name: Wer  Suffix: Grant	xplanation and attach  is application, I certify (complete and accurate resulting terms if I accepninal, civil, or administrations and assurances, consentative:	(1) to the statement of the best of most an award. I am attive penalties. (U	Add Attachment ents contained in the by knowledge. I also aware that any false, J.S. Code, Title 218, S where you may obtain at Name: Robin	Delete Attachment  list of certifications** provide the required fictitious, or fraudulen ection 1001)	and (2) that the statements assurances** and agree to it statements or claims may the announcement or agency			
If "Yes", provide e  21. *By signing therein are true, comply with any resubject me to crim  ** I AGREE  ** The list of certific specific instructions  Authorized Repre  Prefix: Mr  Middle Name: Wer  * Last Name: Wer  Suffix: Grant  * Telephone Number	xplanation and attach  is application, I certify (complete and accurate resulting terms if I acceptional, civil, or administrations and assurances, consentative:	(1) to the statement of the best of most an award. I am attive penalties. (U	Add Attachment ents contained in the by knowledge. I also aware that any false, J.S. Code, Title 218, S where you may obtain at Name: Robin	Delete Attachment  Plist of certifications** provide the required fictitious, or fraudulent section 1001)  this list, is contained in	and (2) that the statements assurances** and agree to it statements or claims may the announcement or agency			

## **BUDGET INFORMATION - Non-Construction Programs**

OMB Number: 4040-0006 Expiration Date: 06/30/2014

### **SECTION A - BUDGET SUMMARY**

	Grant Program Function or	Catalog of Federal Domestic Assistance	Estimated Unob	ligated Funds			
	Activity	Number	Federal	Non-Federal	Federal	Non-Federal	Total
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
1.	EPA-OSWER-OBLR-14-07	66.818	\$ 0.00	\$ 0.00	\$ 400,000.00	\$ 0.00	\$ 400,000.00
2.							
3.							
4.							
5.	Totals		\$	\$	\$ 400,000.00	\$	\$ 400,000.00

Standard Form 424A (Rev. 7- 97) Prescribed by OMB (Circular A -102) Page 1

### **SECTION B - BUDGET CATEGORIES**

6. Object Class Categories GRANT PROGRAM, FUNCTION OR ACTIVITY Total									Total
6. Object Class Categories	(1)		(2)	GRANT PROGRAM, F	(3)	CHON OR ACTIVITY	(4)		(5)
		EPA-OSWER-OBLR-14-07							, , ,
a. Personnel	\$ [	6,000.00	\$		\$		\$	\$	6,000.00
b. Fringe Benefits		3,000.00							3,000.00
c. Travel		3,000.00							3,000.00
d. Equipment									
e. Supplies		15,000.00							15,000.00
f. Contractual		373,000.00							373,000.00
g. Construction									
h. Other									
i. Total Direct Charges (sum of 6a-6h)		400,000.00						\$	400,000.00
j. Indirect Charges								\$	
k. TOTALS (sum of 6i and 6j)	\$ [	400,000.00	\$		\$		\$	\$	400,000.00
7. Program Income	\$ [	0.00	\$		\$		\$	\$	

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	SECTION	C -	NON-FEDERAL RESC	UF	RCES				
(a) Grant Program			(b) Applicant		(c) State	(	(d) Other Sources		(e)TOTALS
8. EPA-OSWER-OBLR-14-07	8. EPA-OSWER-OBLR-14-07			\$	0.00	\$	0.00	\$ [	0.00
9. N/A			0.00		0.00		0.00		0.00
10. N/A			0.00		0.00		0.00		0.00
11. N/A			0.00		0.00		0.00		0.00
12. TOTAL (sum of lines 8-11)		\$		\$		\$		\$	
	SECTION	D.	FORECASTED CASH	NE	EDS				
	Total for 1st Year		1st Quarter		2nd Quarter		3rd Quarter	_	4th Quarter
13. Federal	\$ 200,000.00	\$	100,000.00	\$	50,000.00	\$	25,000.00	\$	25,000.00
14. Non-Federal	\$		0.00		0.00		0.00		0.00
15. TOTAL (sum of lines 13 and 14)	\$ 200,000.00	\$	100,000.00	\$	50,000.00	\$	25,000.00	\$	25,000.00
SECTION E - BUD	GET ESTIMATES OF FE	DE	RAL FUNDS NEEDED	FO	R BALANCE OF THE	PR	OJECT		
(a) Grant Program				_	FUTURE FUNDING	PE		1	
		1	(b)First	_	(c) Second	<u> </u>	(d) Third		(e) Fourth
16. EPA-OSWER-OBLR-14-07		\$	200,000.00	\$	150,000.00	\$	50,000.00	\$	0.00
17. N/A			0.00		0.00	[	0.00		0.00
18. N/A			0.00		0.00	[	0.00		0.00
19. N/A			0.00		0.00	[	0.00		0.00
20. TOTAL (sum of lines 16 - 19)			200,000.00	\$	150,000.00	\$	50,000.00	\$	
	SECTION F	- C	THER BUDGET INFOR	RM.	ATION	, ,			
21. Direct Charges: 0			22. Indirect	Ch	arges: 0				
23. Remarks: 0	3. Remarks: 0								

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